

### **Do's**

- a. Always deal with market intermediaries registered with SEBI/Exchanges.*
- b. Give Clear & unambiguous instructions to your broker/agent/depository participant*
- c. Always insist on contract notes for all the transactions from the main broker (JVS Securities Pvt. Ltd.) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website [www.nseindia.com](http://www.nseindia.com).*
- d. Always settle the dues through the normal banking channels with market intermediaries.*
- e. Always make payment directly to the main broker (JVS Securities Pvt Ltd)*
- f. Always give delivery of shares directly to the main broker (JVS Securities Pvt. Ltd.)*
- g. Adopt trading & investment strategies commensurate with your Risk bearing capacity as well investments carry risk the degree of which varies according to the investment strategy adopted.*
- h. Always sign a "Member Client Agreement" or the triparty agreement with the trading member or SEBI registered sub-broker of the trading member of NSE as the case may be.*
- i. Please carryout due diligence before registering as client with any Intermediary. Also carefully read & understand the contents stated in the Risk Disclosure Document, which forms the party client registration for dealing through intermediaries in the Stock Market.*

### **Don'ts**

- a. Don't deal with unregistered broker / sub-brokers, intermediaries.*
- b. Don't leave the custody of your Demat Transaction slip book in the hands of any intermediary.*
- c. Don't fall prey to promises of guaranteed returns.*
- d. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.*